

## Road Annuity Project in Kenya (Lot 32)

### Annex 1: List of Documents Reviewed

Documents Received	Project Description
	<ol style="list-style-type: none"><li>1. Taveta – Illasit Lot 32 AutoCAD Drawing 10May20 (2020)</li><li>2. Taveta – Illasit Lot 32 AutoCAD Drawing 05Jun20 (2020).</li><li>3. Kenya Rural Road Authority, EPC Turnkey Contract for Upgrading of Illasit – Rombo – Taveta (D536) Road, Volume I: Feasibility Study Report (2013)</li><li>4. Kenya National Highways Authority, EPC Turnkey Contract for Updated of Illasit – Rombo – Taveta (D536) Road, Plan and Profile Drawings (2020)</li></ol>
	<b>Policies and QHSE Manual</b>
	<ol style="list-style-type: none"><li>5. Sinohydro HSE Policy (2014)</li><li>6. Sinohydro QHSE Management Manual (2010)</li><li>7. Health and Safety Methodology (2015)</li></ol>
	<b>Permits</b>
	<ol style="list-style-type: none"><li>8. NEMA Certificate of Variation of EIA License (NEMA/EIA/VC/764) (2018)</li><li>9. NEMA EIA License, Project, Kenya Rural Roads Authority (NEMA/EIA/PSL/2374) (2015)</li><li>10. NEMA EIA License, Batch Type Asphalt Plant, Sinohydro Corporation Ltd, Kajiado County (Registration No. 0062259) (2019)</li><li>11. NEMA EIA License, Cement-Gravel Stabilizer Plant, Sinohydro Corporation Ltd, Kajiado County (Registration No. 0062258) (2019)</li><li>12. NEMA EIA License, Concrete Batching Plant, Sinohydro Corporation Ltd, Kajiado County (Registration No. 0062257) (2019)</li><li>13. NEMA EIA License, Campsite Development, Sinohydro Corporation Ltd, Kajiado County (Registration No. 0062256) (2019)</li><li>14. National Construction Authority Certificate of Registration, Sinohydro Corporation Ltd (Serial No. 189684) (2019)</li><li>15. Payment Receipt for Application for Consent of Mining (Large), Taita Taveta County (Receipt No. 70439) (2020)</li><li>16. Trading License (Construction Permit) Sinohydro Corporation Ltd, Kajiado County, Kajiado South (Permit No. 425376/2020) (2020)</li><li>17. Trading License (Excavation Permit) Sinohydro Corporation Ltd, Kajiado County, Kajiado South (Permit No. 425422/2020) (2020)</li><li>18. Fire Clearance Certificate Sinohydro Corporation Ltd, Kajiado County (Bill No. BK19250285) (2020)</li><li>19. National Industrial Training Authority, Payment Receipt (Kenya Commercial Bank Transaction No. TT192197D2RB) (2019)</li><li>20. DOSH Certificate of Registration of a Building or a Construction Site (Campsite) (KJD1362/01/19/00) (2020)</li><li>21. DOSH Certificate of Registration of a Building or a Construction Site (Quarry) (TTT1363/01/19/00) (2020)</li><li>22. Food, Drugs and Chemical Substances Act, Food Processing License, Kajiado County (Serial No. 654288) (2020)</li><li>23. Single Business Permit, Quarry, Taita Taveta County (Permit Serial No. 0069690) (2020)</li><li>24. Single Business Permit, Construction, Taita Taveta County (Permit Serial No. 0069693) (2020)</li><li>25. Noise Permit Payment Receipt, Kajiado County (Receipt No. 224393) (2020)</li></ol>

26. Stocking Materials and Hoarding Permit Payment Receipt, Kajiado County (Receipt No. 224041) (2020)
27. Trading License (Waste Transport and Disposal) Sinohydro Corporation Ltd, Kajiado County, Kajiado South (Permit No. 425437/2020) (2020)
28. Tree Felling Permission Documents (Loitokitok Community Forest Association Payment Receipt (2020), Joint Inspection of Private Plantations/Woodlots for Felling (2019) and Ministry of Interior and Coordination of National Government Introduction Letter (2019))

### **Human Resources**

29. Sinohydro Lot 32 Project Safety and Environment Department Organogram (2020)
30. Sinohydro Lot 32 Skill Transfer and Requirement to Enhance Economic Growth Methodology (2015)
31. Ecosustainability Hub Consultant Limited EIA Firm of Experts Practicing License (NEMA/EIA/ERPL/11522) (2020)
32. Ecosustainability Hub Consultant Limited EIK Certificate of Membership (EIK/1/3423) (2020)
33. Peter Oluoch Ouma EIA Lead Expert Practicing License (NEMA/EIA/EPRL/11630) (2020)
34. Peter Oluoch Ouma EIK Certificate of Membership (EIK/1/3415) (2020)
35. Ahenda Dan Ochieng' EIA Associate Expert Practicing License (NEMA/EIA/EPRL/11593) (2020)

### **Planning and General SOPs**

36. Sinohydro Corporation C-SHL-HSE-2000-2015-HSE Management Plan (2015)
37. Sinohydro Corporation C-SHL-HSE-3001-2015-Responsibilities Procedure (2015)
38. Sinohydro Corporation C-SHL-HSE-3002-2015-HSE Orientation and Training (2015)
39. Sinohydro Corporation C-SHL-HSE-3003-2015-Health, Safety and Environmental Training Plan (2015)
40. Sinohydro Corporation C-SHL-HSE-3004-2015-Reports and Records (2015)
41. Sinohydro Corporation C-SHL-HSE-3005-2015-Contractor HSEC Evaluation Selection and Monitoring (2015)
42. Sinohydro Corporation C-SHL-HSE-3006-2015-Change Management Procedure (2015)
43. Sinohydro Corporation C-SHL-HSE-3007-2015-HSE Disciplinary Process (2015)
44. Sinohydro Corporation C-SHL-HSE-3008-2015-Inspection and Audit (2015)
45. Sinohydro Corporation C-SHL-HSE-3009-2015-Grievance and Complain Mechanism Procedure (2015)
46. Sinohydro Corporation C-SHL-HSE-3010-2015-Awards Program (2015)
47. Sinohydro Corporation C-SHL-HSE-3011-2015-General Site Requirements (2015)
48. Sinohydro Corporation C-SHL-HSE-3012-2015-Public Consultation and Community Relations Procedure (2015)

### **Health SOPs**

49. Sinohydro Corporation C-SHL-HSE-H3001-2015 Pre-Project Medical Examination (2015)

50. Sinohydro Corporation C-SHL-HSE-H3002-2015 First Aid and Medical Service (2015)
51. Sinohydro Corporation C-SHL-HSE-H3003-2015 Occupational Health and Hygiene (2015)
52. Sinohydro Corporation C-SHL-HSE-H3004-2015 Drinking Water and Ice Procedure (2015)
53. Sinohydro Corporation C-SHL-HSE-H3005-2015 Fatigue Management (2015)
54. Sinohydro Corporation C-SHL-HSE-H3006-2015 Malaria Procedure (2015)
55. Sinohydro Corporation C-SHL-HSE-H3007-2015 Pest Control Procedure (2015)
56. Sinohydro Corporation C-SHL-HSE-H3008-2015 HIV AIDS Procedure (2015)
57. Sinohydro Corporation C-SHL-HSE-H3009-2015 Infectious Disease Control Program Procedure (2015)

**Safety SOPs**

58. Sinohydro Corporation C-SHL-HSE-S3001-2015 Process Safety Management Procedure (2015)
59. Sinohydro Corporation C-SHL-HSE-S3002-2015 Substance Abuse and Firearms Prevention (2015)
60. Sinohydro Corporation C-SHL-HSE-S3003-2015 Critical Incident Management (2015)
61. Sinohydro Corporation C-SHL-HSE-S3004-2015 Hazard Identification and Risk Management (2015)
62. Sinohydro Corporation C-SHL-HSE-S3005-2015 Job Hazard Analysis (2015)
63. Sinohydro Corporation C-SHL-HSE-S3006-2015 Daily Walkdowns Compliance Monitoring Procedure (2015)
64. Sinohydro Corporation C-SHL-HSE-S3007-2015 Pre-Start Meetings, STARRT and Re-STARRT Cards Procedure (2015)
65. Sinohydro Corporation C-SHL-HSE-S3008-2015 Vehicle Safety Management Plan (2015)
66. Sinohydro Corporation C-SHL-HSE-S3009-2015 Permit To Work System Procedure (2015)
67. Sinohydro Corporation C-SHL-HSE-S3010-2015 Emergency Response Procedure (2015)
68. Sinohydro Corporation C-SHL-HSE-S3011-2015 Security Procedure (2015)
69. Sinohydro Corporation C-SHL-HSE-S3012-2015 Traffic Management and Safe Transportation of Workers Procedure (2015)
70. Sinohydro Corporation C-SHL-HSE-S3013-2015 Travel Safety (2015)
71. Sinohydro Corporation C-SHL-HSE-S3014-2015 Camp Sanitation and Hygiene (2015)
72. Sinohydro Corporation C-SHL-HSE-S3015-2015 Respiratory Protection (2015)
73. Sinohydro Corporation C-SHL-HSE-S3016-2015 Compressed Gas Cylinders Procedure (2015)
74. Sinohydro Corporation C-SHL-HSE-S3017-2015 Material Safety Data Sheets Procedure (2015)
75. Sinohydro Corporation C-SHL-HSE-S3018-2015 Electrical Power Tools and Equipment (2015)
76. Sinohydro Corporation C-SHL-HSE-S3019-2015 Electrical Safety Procedure (2015)

77. Sinohydro Corporation C-SHL-HSE-S3020-2015 Welding, Cutting and Allied Process Procedure (2015)
78. Sinohydro Corporation C-SHL-HSE-S3021-2015 Powder Actuated Tools Procedure (2015)
79. Sinohydro Corporation C-SHL-HSE-S3022-2015 High Voltage Electrical Access and Live Electrical Work (2015)
80. Sinohydro Corporation C-SHL-HSE-S3023-2015 Fire Prevention and Protection Procedure (2015)
81. Sinohydro Corporation C-SHL-HSE-S3024-2015 Excavations Sloping and Shoring Procedure (2015)
82. Sinohydro Corporation C-SHL-HSE-S3025-2015 Tunnelling and Underground Operations Procedure (2015)
83. Sinohydro Corporation C-SHL-HSE-S3026-2015 Floor and Wall Openings Procedure (2015)
84. Sinohydro Corporation C-SHL-HSE-S3027-2015 Bulk Earthworks and Civil Works (2015)
85. Sinohydro Corporation C-SHL-HSE-S3028-2015 Working Alone Procedure (2015)
86. Sinohydro Corporation C-SHL-HSE-S3029-2015 Barricades and signs Procedure (2015)
87. Sinohydro Corporation C-SHL-HSE-S3030-2015 Working at Height (2015)
88. Sinohydro Corporation C-SHL-HSE-S3031-2015 Personal Protective Equipment Procedures (2015)
89. Sinohydro Corporation C-SHL-HSE-S3032-2015 Manual Handling Procedure (2015)
90. Sinohydro Corporation C-SHL-HSE-S3033-2015 Abrasive Blasting Procedure (2015)
91. Sinohydro Corporation C-SHL-HSE-S3034-2015 Cranes and Rigging Procedure (2015)
92. Sinohydro Corporation C-SHL-HSE-S3035-2015 Guarding Machinery and Mechanical Power Transmission Parts Procedure (2015)
93. Sinohydro Corporation C-SHL-HSE-S3036-2015 Ladders, Scaffold and Temporary Work Platforms Procedure (2015)
94. Sinohydro Corporation C-SHL-HSE-S3037-2015 Workshop Equipment and Facilities Procedure (2015)
95. Sinohydro Corporation C-SHL-HSE-S3038-2015 Drilling and Blasting Procedure (2015)
96. Sinohydro Corporation C-SHL-HSE-S3039-2015 Lock-out Tag-out Procedure (2015)
97. Sinohydro Corporation C-SHL-HSE-S3040-2015 Confined Space Entry (2015)
98. Sinohydro Corporation C-SHL-HSE-S3041-2015 Working in Hot and Cold Conditions Procedure (2015)
99. Sinohydro Corporation C-SHL-HSE-S3042-2015 Railroad HSE (2015)
100. Sinohydro Corporation C-SHL-HSE-S3043-2015 Work Over Water Procedure (2015)
101. Sinohydro Corporation C-SHL-HSE-S3044-2015 Consultative Arrangements Procedure (2015)
102. Sinohydro Corporation C-SHL-HSE-S3045-2015 Incidental Asbestos Handling Procedure (2015)
103. Sinohydro Corporation C-SHL-HSE-S3046-2015 Hazardous Substances and Dangerous Goods Control (2015)
104. Sinohydro Corporation C-SHL-HSE-S3047-2015 Radiation Control Procedure (2015)

105. Sinohydro Corporation C-SHL-HSE-S3048-2015 Vehicle and Mobile Equipment Inspection (2015)
106. Sinohydro Corporation C-SHL-HSE-S3049-2015 Equipment Inspection Procedure (2015)
107. Sinohydro Corporation C-SHL-HSE-S3050-2015 Housekeeping Procedure (2015)
108. Sinohydro Corporation C-SHL-HSE-S3051-2015 Incident Reporting Recording and Investigation Procedure (2015)

### **Environment SOPs**

109. Sinohydro Corporation C-SHL-HSE-E3001-2015 Noise Management Procedure (2015)
110. Sinohydro Corporation C-SHL-HSE-E3002-2015 Management of Pit Slopes, Stockpiles, Spoil and Waste Dumps Procedure (2015)
111. Sinohydro Corporation C-SHL-HSE-E3003-2015 Waste Management Procedure (2015)
112. Sinohydro Corporation C-SHL-HSE-E3004-2015 Dust Control Procedure (2015)
113. Sinohydro Corporation C-SHL-HSE-E3005-2015 Air Emissions Control Procedure (2015)
114. Sinohydro Corporation C-SHL-HSE-E3006-2015 Hazardous Waste Procedure (2015)
115. Sinohydro Corporation C-SHL-HSE-E3007-2015 Water Quality Control (2015)
116. Sinohydro Corporation C-SHL-HSE-E3008-2015 Contaminated Land Procedure (2015)
117. Sinohydro Corporation C-SHL-HSE-E3009-2015 Wildlife Control Procedure (2015)
118. Sinohydro Corporation C-SHL-HSE-E3010-2015 Environmental Management Visual Procedure (2015)
119. Sinohydro Corporation C-SHL-HSE-E3011-2015 Cultural Heritage Control Procedure (2015)
120. Sinohydro Corporation C-SHL-HSE-E3012-2015 Spill Prevention and Control (2015)
121. Sinohydro Corporation C-SHL-HSE-E3013-2015 Concrete Waste Management (2015)
122. Sinohydro Corporation C-SHL-HSE-E3014-2015 Erosion and Sedimentation Control (2015)
123. Sinohydro Corporation C-SHL-HSE-E3015-2015 Hazardous Waste Procedure (2015)
124. Sinohydro Corporation C-SHL-HSE-E3016-2015 Site Reinstatement and Restoration Procedure (2015)

### **Risk Assessments and Management Plans**

125. Taveta-Illasit (D536) Road: Lot 32 Environmental and Social Management Programme (CESMP) (2019)
126. CfC Stanbic and Sinohydro Corporation Limited, Kenya Roads Program Lenders Technical Advisor, Environmental and Social Due Diligence of Lot 32 (2018)
127. Kenya Rural Roads Authority, Final Environmental and Social Impact Assessment Study Report for the Taveta – Njukini (D536) Road (2014)
128. Kenya National Highways Authority, EPC Contract for Kenya Annuity Road Project of Lot No. 32 Illasit – Rombo – Taveta, Pre-Construction Asset Condition Survey Report (2020)

- 129. Sinohydro Corporation, Terms of Reference for Joint Survey (2020)
- 130. Kenya National Highways Authority, Updated Environmental and Social Management Plan for Illasit – Njukini – Taveta Road Project (Updated ESMP) (2019)

**Stakeholder Engagement**

- 131. Kenya National Highways Authority, Minutes of the Stakeholder Consultation for Updating of the Environmental and Social Management Plan (ESMP) for Implementation of the Project, Proposed Upgrading to Bitumen Standard of Illasit – Njukini – Taveta Road Project (Lot 32) (2019)

**Land Ownership Documents**

- 132. Title Deeds for Parcels 145, 1515, 1981, 2023, 2038, 2132, 2226, 2244, 2867, 2868, 2870, 387, 2876, 2877, 2936, 2937, 3047, 3196, 3458, 3884, 3886, 3887, 388, 3888, 5860, 6123, 6258, 7608, 389, 434, 474, 649, 651 and 1031.